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Spectrum Management and Telecommunications

Terminal Attachment Program Procedures

Procedure for Declaration of Conformity and Registration of Terminal Equipment

Foreword

This document describes the procedure a supplier of telecommunications terminal equipment shall follow to declare conformity to the applicable technical specifications, and to register the equipment with Industry Canada.

This document will be reviewed and amended from time to time on the basis of experience gained. The Department encourages comments and suggestions that will enhance the effectiveness of this procedure. These may be forwarded to:

TAPAC Secretariat
Directorate of Telecommunications Engineering and Certification
Industry Canada
300 Slater Street
Ottawa, Ontario
Canada
K1A 0C8

Issued under the authority
of the Minister of Industry

R.W. McCaughern
Director General
Spectrum Engineering Branch

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1. Purpose

- 1.1 This document describes the procedure a supplier of telecommunications terminal equipment (TE) shall follow to declare conformity to the applicable technical specifications and to register their equipment with Industry Canada.
- 1.2 This document supersedes CP-01, *Certification Procedure*, Issue 8. Terminal equipment previously certified under CP-01 will be regarded as compliant equipment, as long as it is not modified. If certified equipment is modified, the procedures set forth in this document apply.
- 1.3 This document is produced for purposes of Part IV.1 of the *Telecommunications Act* and the *Telecommunications Apparatus Regulations*.

2. Definitions

Department means Industry Canada.

Bureau means the Department's Certification and Engineering Bureau.

Terminal Equipment (TE) is telecommunications apparatus intended for connection to telecommunications networks of Canadian carriers, to which Part IV.1 of the *Telecommunications Act* applies.

Declaration of Conformity (DoC) is a procedure by which the Declaring Party has the terminal equipment tested by a testing laboratory recognized by the Department and gives written assurance that the terminal equipment complies with the applicable technical specifications.

Compliance Folder is the collection of supporting documentation related to the information provided in the Declaration of Conformity.

Supplier is a generic term for an entity that distributes, leases, offers for sale, sells, imports, or manufactures telecommunications terminal equipment.

Declaring Party is the Supplier who signs the DoC and assumes responsibility for the declaration.

Recognized Accreditation Organizations are accreditation organizations that have been recognized by the Standards Council of Canada (SCC) or a Mutual Recognition Agreement/Arrangement (MRA) partner as competent to perform accreditation.

Registration is the process by which terminal equipment is entered in the *Terminal Equipment List* (TEL) to signify that a Declaration of Conformity has been received by the Department.

Registered Terminal Equipment is equipment that is listed in the *Terminal Equipment List*.

Package of Terminal Equipment is an assembly of two or more devices, which is intended for connection to a network by means of standard connectors, as defined in the applicable technical

specifications. The assembly may consist of separate units or modules connected together or may be contained within a single housing.

Component Device is a device designed to be connected to registered host terminal equipment but is not connected on a stand-alone basis to the network.

Testing Laboratory is a laboratory that performs tests (see the latest version of ISO/IEC Standard 17000).

3. General Information

Additional information on this procedure can be obtained from:

[TAPAC Secretariat](#)

Directorate of Telecommunications Engineering and Certification
Industry Canada
300 Slater Street
Ottawa, Ontario
Canada
K1A 0C8

Telephone No.: (613) 990-4526
Facsimile No.: (613) 957-8845
E-mail Address: tapac-ccprt@ic.gc.ca

Suppliers of telecommunications terminal equipment are encouraged to provide notices/user information in both official languages.

4. Related Documents

The following documents can be found on Industry Canada's Spectrum Management and Telecommunications website at: <http://strategis.gc.ca/spectrum>.

Terminal Equipment - Technical Specifications List – CS-03 Parts I to VIII prescribe network protection and other mandatory technical requirements for terminal equipment.

Marking Requirements – Self-Marking of the Certification/Registration Number on Terminal Equipment – Application Procedure and Agreement.

Testing Laboratory Accreditation/Designation Procedures – These are procedures that testing laboratories must follow to obtain the necessary accreditation and/or recognition to participate in the Department's DoC regime. These documents are:

DES-LAB(E): *Procedure for Designation and Recognition of Canadian Testing Laboratories by Industry Canada*, and

REC-LAB(E): *Procedure for the Recognition of Designated Foreign Testing Laboratories by Industry Canada.*

5. General Conditions

- 5.1 A Declaration of Conformity can be made only for terminal equipment for which TE technical specifications have been promulgated by the Department.
- 5.2 Terminal equipment that is also a radio apparatus requires certification under the *Radiocommunication Act*. Notification to the Department of the certification to the applicable Radio Standards Specifications and the registration of the terminal equipment must be performed at the same time. The Department reserves the right to withhold notification of certification and/or registration, if they are not submitted together. When a recognized certification body is used it shall perform both notification of certification and registration together.
- 5.3 All terminal equipment models shall be declared separately.
- 5.4 A single registration number (i.e. family registration) may be issued to many models of terminal equipment that are nearly identical in design and construction.

6. Registration and Declaration of Conformity Process

6.1 Design

Terminal equipment shall be designed to conform to all the applicable TE technical specifications.

6.2 Testing

- 6.2.1 A representative sample of the final product must be tested to the applicable TE technical specifications to verify compliance. These specifications are established by the Department under the *Telecommunications Act* and are contained in the *Terminal Equipment – Technical Specifications List (CS-03)*.
- 6.2.2 Testing shall be performed by a testing laboratory that is:
- (a) accredited by the Department, the Standards Council of Canada or a recognized accreditation organization and recognized by the Department (see DES-LAB(E)); or
 - (b) in the case of foreign testing laboratories, designated by a Mutual Recognition Agreement/Arrangement partner and recognized by the Department (see REC-LAB(E)).
- 6.2.3 A list of recognized testing laboratories is compiled by the Department and is available on the Industry Canada's Certification and Engineering Bureau website (see Section 6.5.10).

6.2.4 Although the methods of measurement prescribed in the TE technical specifications documents are preferred, the Department will accept alternate test methods with an engineering analysis that demonstrates the validity of the alternative test method.

6.3 Test Report

6.3.1 The recognized testing laboratory shall document all test results and test methods used, and prepare a report.

6.3.2 The Declaring Party shall retain on file, for a period of 10 years from the date of registration, a compliance folder which includes the test report.

6.3.3 Upon request, a compliance folder shall be submitted to the Department. The compliance folder shall contain the following information:

- (a) a copy of the test report showing that the product fully meets the applicable technical specifications;
- (b) a copy of the instruction manual(s) as supplied with the equipment. If not included in the instruction manual(s), the following information shall be attached:
 - (i) complete operating and maintenance instructions;
 - (ii) complete schematic diagrams and list of parts and components; and
 - (iii) sufficient photographs (approximately 20 cm × 25 cm) of the unit to show details of external appearance and internal construction;
- (c) a copy of current advertising literature, if available; and
- (d) a drawing, sample or illustration of the product label.

6.4 Marking

6.4.1 The following requirements are established under section 69.3 of the *Telecommunications Act* for purposes of section 5 of the *Telecommunications Apparatus Regulations*.

6.4.2 The Declaring Party will be responsible for permanently affixing the registration number and model number on the TE. This information shall be affixed in such a manner as not to be removable except by destruction or defacement. The size of the lettering shall be legible without the aid of magnification but is not required to be larger than 8 point font size. If the device is too small to meet this condition, the information can be included in the user manual upon agreement with Industry Canada.

6.4.3 Registered equipment shall bear the following identifying marks:

(a) the registration number:

- (i) for integrated devices (e.g. a modem) or devices that are intended to become sub-assemblies of host equipment (e.g. a data terminal, computer etc. that are designed to interface directly with the network), the registration number shall be affixed to the integrated device itself;
- (ii) the registration number for a packaged TE will denote that the total package has been registered. However, the marking will normally be placed on the unit of the package that connects to the network. For example in a PBX, the marking will be placed on the common equipment which connects to the network, rather than on plug-in components which may be added later. The *Terminal Equipment List* (TEL) will show the common equipment but not the standard station apparatus or any proprietary station apparatus.
- (iii) The marking format of the registration number is as follows:

IC: XXXXXX-YYYYYYYYYYYY

Where:

- “XXXXXX-YYYYYYYYYYYY” is the registration number;
 - “XXXXXX” is the Company Number (CN), made of at most 6 alphanumeric characters (A-Z, 0-9), including a letter at the end of the CN to distinguish between different company addresses, assigned by Industry Canada;
 - “YYYYYYYYYYYY” is the Unique Product Number (UPN), made of at most eleven (11) alphanumeric characters (A-Z, 0-9) assigned by the applicant; and
 - The letters “IC” have no other meaning or purpose than to identify the Industry Canada certification number.
- (iv) Permitted alphanumeric characters used in the CN and UPN are limited to capital letters (A-Z) and digits (0-9). Other characters, such as #, / or -, shall not be used. An example of the new format for a company having a CN of “21A” and wishing to use a UPN of “WILAN3” would thus be: IC: 21A-WILAN3.
- (b) the model identification number under which the product was registered. Each equipment model shall be assigned a unique model identification number and the use of “wild card” characters is not allowed.

6.4.4 Equipment that has received registration but does not have the model number and the registration number as outlined above is not considered registered.

6.4.5 Certification numbers granted prior to the implementation of the above marking format are grandfathered.

6.4.5.1 For previously certified TE, the marking format shall consist of the old certification number preceded by “IC:” For example, if the certification number is “123 1234 A”, then the mark would read “IC: 123 1234 A”.

6.4.5.2 For a new model that is registered to a family of previously certified TE, the self-marking format shall be:

IC: XXXXXX-ZZZZZZZZZZZ

Where:

- “XXXXXX” is the Company Number, as in Section 6.4.3; and
- “ZZZZZZZZZZ” is either the old certification number minus the old company number, or a new Unique Product Number assigned by the applicant.

For example, if a new model is registered to the family of products with certification number “123 1234 A”, and that the Company Number for the registration is “123A”, then the self-mark for this new model would read “IC: 123A-1234 A”. If the applicant decides to replace “1234 A” with a new UPN, say “5678”, then the self-mark would read “IC: 23A-5678”.

6.4.6 The Industry Canada corporate signature – which consists of the Canadian flag symbol and the words *Industry Canada* and *Industrie Canada* – shall not be reproduced, in whole or in part.

6.4.7 A statement of compliance with Industry Canada requirements, such as the one given below, shall accompany each unit of equipment whether registered under this procedure or previously certified:

This product meets the applicable Industry Canada technical specifications/Le présent matériel est conforme aux spécifications techniques applicables d’Industrie Canada.

6.4.8 For terminal equipment with interfaces defined in CS-03 Part I, using network provided analogue ringing, the Ringer Equivalence Number (REN) must be calculated as per Section 1.8 of Part I. A REN higher than that determined may be assigned by manufacturers to allow for production variations. The REN must be marked on the terminal equipment itself or added to the note below. A note similar to the following shall accompany each unit of equipment whether registered under this procedure or previously certified:

The Ringer Equivalence Number is an indication of the maximum number of devices allowed to be connected to a telephone interface. The termination on an interface may consist of any combination of devices subject only to the requirement that the sum of the RENs of all the devices does not exceed five/L'indice d'équivalence de la sonnerie (IES) sert à indiquer le nombre maximal de terminaux qui peuvent être raccordés à une interface téléphonique. La terminaison d'une interface peut consister en une combinaison quelconque de dispositifs, à la seule condition que la somme d'indices d'équivalence de la sonnerie de tous les dispositifs n'excède pas 5.

6.5 Registration of Terminal Equipment Subject to a Declaration of Conformity

- 6.5.1 The Declaring Party should register the terminal equipment with the Bureau through a web-based electronic filing system referred to as E-filing, available on the Certification and Engineering Bureau website.
- 6.5.2 When using the E-filing option, the following is required:
- (a) A Strategis username and password.
To obtain a Strategis username and password register by selecting *Registration* from the menu at the top right corner of the page.
 - (b) A signed Declaration of Conformity.
The DoC (Appendix I of this document) must be signed and submitted in PDF format or by facsimile to the Bureau.
 - (c) The payment of the applicable fee shall be made by credit card to the Receiver General of Canada.
- 6.5.3 Alternatively, the Declaring Party may register by regular mail, by completing the forms located on the Certification and Engineering Bureau website.
- 6.5.4 The registration process will provide the required information to add the terminal equipment to the *Terminal Equipment List* published by Industry Canada and found on the Bureau's website. The Declaring Party shall ensure that the information provided includes a valid Company Number, which can be obtained from the Bureau. Any changes to the information provided in the registration shall be reported to the Bureau. To modify registration information provided during E-filing contact the Bureau.
- 6.5.5 By submitting a **Declaration of Conformity** in accordance with the procedures set in this document, the Declaring Party attests to the Department that the terminal equipment is in conformity with the applicable CS-03 technical specifications.
- 6.5.6 The DoC and, if not using E-filing, the Registration Request (found at http://strategis.ic.gc.ca/epic/internet/inceb-bhst.nsf/en/h_tt00029e.html) forms must be signed by a company officer, a partner in the case of a partnership, or a proprietor in the case of a sole proprietorship. A Declaring Party's authorized representative may sign the forms provided proof of signing authority is added to the submission.

- 6.5.7 Upon receipt of a submission, the Bureau will:
- (a) review the material submitted and verify that it is complete. Additional information may be requested;
 - (b) send an acknowledgement by electronic mail to the Declaring Party; and
 - (c) include the declared terminal equipment in the *Terminal Equipment List*.
- 6.5.8 Once the declared equipment appears in the *Terminal Equipment List*, the equipment may be distributed, leased, offered for sale or imported into Canada.
- 6.5.9 A check list for Terminal Equipment Registration is provided as Appendix II.
- 6.5.10 Additional information about these procedures or for assistance with E-filing may be obtained from the Bureau:

[Certification and Engineering Bureau](#)

Industry Canada
P.O. Box 11490, Station H
3701 Carling Avenue
Building 94
Ottawa, Ontario
Canada
K2H 8S2

Telephone No.: (613) 990-4218
Facsimile No.: (613) 990-5009
E-mail Address: certification.bureau@ic.gc.ca
Website: <http://strategis.gc.ca/certification>

6.6 Registration of a Family of Products

- 6.6.1 Registration may be granted to several models as a new family of products. Each model must be assigned a unique model identification number by the manufacturer. This type of service applies when a registration has never been granted to that equipment before. In the case of a family of products, all the models must be electrically identical and all have the same registration number. Only one registration application needs to be submitted for all equipment within the family of products.
- 6.6.2 Should additional products need to be added to an existing family, then a new registration application must be submitted. In this case, the equipment to be registered must have been assigned a unique model number by the manufacturer. Registration for this model must have never been granted before and the equipment must be electrically identical to the previously registered model(s). The original model and registration number must exist in the *Terminal Equipment List*. The registration number of the new equipment will be the same as the one assigned to previously registered equipment of the same family of products.

6.7 Multiple Listing

Multiple listing is required when a manufacturer or distributor wishes to list his/her unique model number under his/her name, or transfer to his/her name, a TE that was previously registered by an original equipment manufacturer or distributor. TE may be multiple listed under other manufacturers or distributors based upon the approval granted to the original Declaring Party. The original model and registration number must exist in the TEL database. The requester must provide a letter of authorization from the original Declaring Party. A new registration number must be assigned to the equipment multiple listed.

6.8 Transfer of Ownership

When a transfer of ownership of a product occurs, the subject product must obtain a new registration number by re-registering with the new company number. The previous owner's registration number and information will still be in the TEL to cover for products currently available in the market.

6.9 Modification of Terminal Equipment

- 6.9.1 TE that is modified shall be re-tested as per the technical requirements in effect at the time of modification, not necessarily those requirements in effect at the time the TE was declared. If the modification changes the information posted in the *Terminal Equipment List* (e.g. changes the REN value), the TE must be re-registered using a new model number.
- 6.9.2 Repair to the terminal equipment, that does not affect the information posted in the TEL, does not require re-registration.

7. Audit Requirements

- 7.1 The Department reserves the right, in accepting a DoC, to conduct audits, request a copy of the compliance folder, or request that the equipment be re-tested to ensure that the terminal equipment entering the market complies with the applicable technical specifications.
- 7.2 The Declaring Party shall provide, in the Registration Request, the identity of a **representative in Canada** who is capable of responding to enquiries and who can provide audit samples at no charge to the Department.
- 7.3 Suppliers shall implement a suitable quality control program to ensure that the registered product continues to meet the applicable TE technical specifications.

8. Disclosure of Information

The Declaring Party shall indicate which information and documents provided in support of a DoC and registration are confidential. The provisions of the *Access to Information Act* apply.

9. Component Devices

- 9.1 Component devices can be declared and registered either as part of an equipment package or as individual component devices. To be eligible, it shall be demonstrated that the component device complies with the applicable TE technical specifications when tested in a registered host terminal equipment package or in a generic test bed.
- 9.2 The host equipment which the component device was tested with shall be identified in the Registration Request.
- 9.3 The user manual for the component device shall contain a list of host equipment which the component device is compatible with.

10. Maintaining Registration

Continuing compliance with the present document (DC-01(E)) is required to maintain registration in the *Terminal Equipment List*. Any non-conformance may result in removal of the terminal equipment from the TEL. Once removed from the TEL, re-registration will be required before the terminal equipment is added to the TEL again.

**Appendix I - Declaration of Conformity for Terminal Equipment/
Déclaration de conformité du matériel terminal**

DOC No./No de DDC
(Reference Number, assigned by the Declaring Party)/(Numéro de référence assigné par la partie déclarante)

Declaring Party/Partie déclarante

Name/Nom:

Title/Poste:

Company Name/Nom de Compagnie:

Company Number/Numéro de compagnie:

Address/Adresse:

Description of Terminal Equipment/Description du matériel terminal:
(Please provide a precise description of the product)/(Veuillez donner une description précise du produit)

.....
.....
.....

The product described above is in conformity with the following Terminal Equipment Technical Specifications (latest version shall be used)/Le produit décrit ci-dessus est conforme aux spécifications techniques des équipements terminaux qui suivent (utiliser la version la plus récente):

Document No./No de Document publication	Applicable Part/Partie applicable	Issue and Date/Édition et date de
.....
.....
<i>Example/Exemple : CS-03/SC-03</i>	<i>Part I/Partie I</i>	<i>Issue 9, amendments 1 to 3, October 20, 2006/Édition 9, incluant les modifications 1 à 3, le 20 octobre 2006</i>

.....
(Place and date of issue) (Lieu et date de déclaration)

.....
(Name and Title) (Nom, titre)

.....
(Signature)

Appendix II - Check List for Terminal Equipment Registration

1.	Obtain <i>Company Number</i> from Industry Canada, if not previously assigned	
2.	Obtain certification for the radio interface (if applicable)	
3.	Complete and sign Declaration of Conformity for Terminal Equipment (Appendix I)	
4.	Complete Registration Application and Notification of Certification (if applicable) (http://strategis.gc.ca/epic/internet/inceb-bhst.nsf/en/h_tt00029e.html)	
5.	Complete application for Self-Marking Agreement	
6.	Payment of applicable fees (Canadian funds)	